



SECURITIES AND EXCHANGE COMMISSION

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The following document has been received:

Receiving: ARIEL FETALVO

Receipt Date and Time: April 26, 2022 01:47:58 PM

Company Information

SEC Registration No.: CS200629755

Company Name: PNX-UDENNA INSURANCE BROKERS INC.

Industry Classification: J67010

Company Type: Stock Corporation

Document Information

Document ID: OST1042620228330525

Document Type: General Information Sheet

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Period Covered: March 28, 2022

Submission Type: Annual Meeting

Remarks: None

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2022

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PNX-UDENNA INSURANCE BROKERS, INC.		DATE REGISTERED: 9/5/2006	
BUSINESS/TRADE NAME: PNX-UDENNA INSURANCE BROKERS, INC.		FISCAL YEAR END: December 31	
SEC REGISTRATION NUMBER: CS200629755		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 006-441-867	
DATE OF ANNUAL MEETING PER BY-LAWS: LAST MONDAY OF MARCH		WEBSITE/URL ADDRESS:	
ACTUAL DATE OF ANNUAL MEETING: 03/28/2022		E-MAIL ADDRESS:	
COMPLETE PRINCIPAL OFFICE ADDRESS: Stella Hizon Reyes road, Bo. Pampanga Davao City		FAX NUMBER: (082) 2992606	
OFFICIAL E-MAIL ADDRESS	ALTERNATE E-MAIL ADDRESS	OFFICIAL MOBILE NUMBER	ALTERNATE MOBILE NUMBER
dina.sanjuan@chelseashipping.ph	tina.pangan@chelseashipping.ph	(0917)5332010	(0917) 5528746
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: PUNONGBAYAN & ARAULLO		SEC ACCREDITATION NUMBER (if applicable): No. 0002-FR-3	TELEPHONE NUMBER(S): 84034015/84034007
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: To engage in and carry on the business of or act as insurance agent of any domestic and/or foreign companies, except pre-needs companies		INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:
===== INTERCOMPANY AFFILIATIONS =====			
PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS	
UDENNA MANAGEMENT & RESOURCES CORP.	CS200628090	Stella Hizon Reyes Road, Bo, Pampanga Davao City	
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS	
Valueleases Inc	CS200726453	Stella Hizon Reyes Road, Bo, Pampanga Davao City	
Global Synergy Trade and Distribution Corporation	CS200628686	Toyozu Road, Dacudao Subdivision, Davao City	
Aetos Air Philippines, Inc.	CS201706922	Stella Hizon Reyes Road, Bo, Pampanga Davao City	
Udenna Environmental Services Inc.	CS2010000001	12/F Udenna Tower, Rizal Drive corner 4th Avenue, Bonifacio Global City, Taguig City	
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name:

PNX-UDENNA INSURANCE BROKERS, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input checked="" type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p> <p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p> <p>8. <input type="checkbox"/> None of the above</p> <p>Describe nature of business:</p>

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? Yes No

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PNX-UDENNA INSURANCE BROKERS, INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	200,000	100.00	20,000,000.00
	-----	-----	-----	-----
	-----	-----	-----	-----
TOTAL		200,000	TOTAL P	20,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	6	Common	200,000		100.00	20,000,000.00	
	-----	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----	-----
TOTAL			200,000	TOTAL	TOTAL P	20,000,000.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
-----	-----	-----	-----	-----	-----	-----	-----
-----	-----	-----	-----	-----	-----	-----	-----
-----	-----	-----	-----	-----	-----	-----	-----

Percentage of Foreign Equity : **TOTAL** **TOTAL** **TOTAL P**

TOTAL SUBSCRIBED P

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	6	Common	200,000	100.00	20,000,000.00	
	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----
TOTAL			200,000	TOTAL P	20,000,000.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
-----	-----	-----	-----	-----	-----	-----
-----	-----	-----	-----	-----	-----	-----
0.00 %			TOTAL	TOTAL P	TOTAL PAID-UP P	20,000,000.00

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:		PNX-JDENNA INSURANCE BROKERS INC.						
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. DENNIS A. UY Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	Filipino	Y	C	M	Y	N/A		172-020-135
2. CHERYLYN C. UY Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	Filipino	Y	M	F	Y	Treasurer		209-221-478
3. DOMINGO T. UY Misamis St. Insular Village Phase II, Lanang Davao City	Filipino	N	M	M	Y	N/A		140-162-193
4. ERNESTO N. ORTONIO JR. No. 10 Azucena St. Panorama Homes Subd., Davao City	Filipino	N	M	F	Y	President		193-295-901
5. SOCORRO ERMAC CABREROS 223 V Mapa St. Davao City	Filipino	Y	M	F	Y	N/A		111-790-618
6. MA. HENEDINA V. SAN JUAN Unit 313 Nile Bldg., Riverfront Residences, Dr. Sixto Antonio Ave., Brgy. Caniogan, Pasig City	Filipino	N	N	F	N	Corporate Secretary		120-130-044
7. IGNACIA, S BRAGA IV B5 L16 P4 Coral Tree Grove, Woodridge Subd. Maa. Davao City	Filipino	N	N	F	N	VP Finance		108-038-078
8. NOTHING FOLLOWS								
9.								
10.								
11.								
12.								
13.								
14.								
15.								
INSTRUCTION: FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		PNX-UDENNA INSURANCE BROKERS, INC.	
TOTAL NUMBER OF STOCKHOLDERS:	6	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:	1
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:	Php 111,295,030.00 (tentative)		

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1 DENNIS A. UY Filipino Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	Common	1	100.00		100.00	172-020-135
	TOTAL	1	100.00			
2. CHERYLYN C. UY Filipino Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	Common	1	100.00		100.00	209-221-478
	TOTAL	1	100.00			
3. DOMIGO T. UY Filipino Misamis St. Insular Village Phase II, Lanang, Davao City	Common	1	100.00		100.00	140-162-193
	TOTAL	1	100.00			
4. ERNESTO N. ORTONIO JR. Filipino No. 10 Azucena St. Panorama Homes Subd., Davao City	Common	1	100.00		100.00	193-295-901
	TOTAL	1	100.00			
5. SOCORRO ERMAC CABREROS Filipino 223 V. Mapa St., Davao City	Common	1	100.00		100.00	111-790-618
	TOTAL	1	100.00			
6. UDENNA MANAGMENT & RESOURCES CORP. Filipino Stella Hizon Reyes Road Bo. Pampanga Davao City	Common	199,995	19,999,500.00		19,999,500.00	006-440-076
	TOTAL	199,995	19,999,500.00			
7. NOTHING FOLLOWS						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			20,000,000.00	0.00%	20,000,000.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		PNX-UDENNA INSURANCE BROKERS, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		6		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		1
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		Php 111,295,030.00 (tentative)				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
8.						
	TOTAL					
9.						
	TOTAL					
10.						
	TOTAL					
11.						
	TOTAL					
12.						
	TOTAL					
13.						
	TOTAL					
14.						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	0.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		PNX-UDENNA INSURANCE BROKERS, INC.					
TOTAL NUMBER OF STOCKHOLDERS:		6			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		1
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		Php 111,295,030.00 (tentative)					
STOCKHOLDER'S INFORMATION							
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER	
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP			
15.							
	TOTAL						
16.							
	TOTAL						
17.							
	TOTAL						
18.							
	TOTAL						
19.							
	TOTAL						
20.							
	TOTAL						
21. OTHERS (Indicate the number of the remaining stockholders)							
	TOTAL						
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%		0.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						0.00	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS							
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.							

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

PNX-UDENNA INSURANCE BROKERS, INC.

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS	N/A	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A	
1.3 LOANS/ CREDITS/ ADVANCES	N/A	
1.4 GOVERNMENT TREASURY BILLS	N/A	
1.5 OTHERS	N/A	

2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
N/A		

3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
N/A		

4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR Php30,116,030.00 (tentative)

5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:

TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED
5.1 CASH		
5.2 STOCK		
5.3 PROPERTY		
TOTAL		

6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:

DATE	NO. OF SHARES	AMOUNT

SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:

NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	n/a	n/a	IB-47-2022-R
DATE ISSUED:			Jan 1, 2022
DATE STARTED OPERATIONS:			July 2013

TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
	7	9	13

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **MA. HENEDINA V. SAN JUAN**, Corporate Secretary of **PNX -UDENNA INSURANCE BROKERS, INC.** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this _____ day of 26 APR 2022 in TAGUIG CITY.



MA. HENEDINA V. SAN JUAN

(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in TAGUIG CITY on 26 APR 2022 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Driver's License No. N06-84-035705 valid until 31 August 2022.

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Page No. 45
Book No. 111
Series of 2022



ATTY. PAUL VINCENT P. SIOCHI
Notarial Commission No. 75 (2021-2022)
BIK. 5 Lot 21 Phase 2, Pinagsama Village, Taguig City
Roll No. 68383
IBP Lifetime No. 016219; 05-08-17; Makati City
PTR No. A-5392945; 01-18-2022; Taguig City
MCLE Compliance No. VI-0011491; 07-22-18
atty.siochi@gmail.com / +63(915)4406743

NOTARY PUBLIC

BENEFICIAL OWNERSHIP DECLARATION

FOR THE YEAR: 2022

CS200629755

SEC REGISTRATION NUMBER:

CORPORATE NAME:

PNX-UDENNA INSURANCE BROKERS INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
UY DENNIS ANG	Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	FILIPINO	9/26/1973	172-020-135	76.29	I	A,B,C,D,E
UY CHERYLYN CHIONG	Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	FILIPINO	06/08/1979	209-221-478	13.33	I	A,B,C,D,E

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.