



SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

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The following document has been received:

Receiving: RENZ CARLO FERNANDEZ

Receipt Date and Time: June 23, 2023 10:11:58 AM

Company Information

SEC Registration No.: CS200629755

Company Name: PNx-UDENNA INSURANCE BROKERS, INC.

Industry Classification: J67010

Company Type: Stock Corporation

Document Information

Document ID: OST10623202381369697

Document Type: General Information Sheet

Document Code: GIS

Period Covered: March 30, 2023

Submission Type: Amendment

Remarks: None

Acceptance of this document is subject to review of forms and contents

AMENDED GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2023

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PNX-UDENNA INSURANCE BROKERS, INC.		DATE REGISTERED: 9/5/2006	
BUSINESS/TRADE NAME: PNX-UDENNA INSURANCE BROKERS, INC.		FISCAL YEAR END: December 31	
SEC REGISTRATION NUMBER: CS200629755		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 006-441-867	
DATE OF ANNUAL MEETING PER BY-LAWS: LAST MONDAY OF MARCH		WEBSITE/URL ADDRESS:	
ACTUAL DATE OF ANNUAL MEETING: 03/30/2023 (Total Assets and Total R/E updates)		E-MAIL ADDRESS:	
COMPLETE PRINCIPAL OFFICE ADDRESS: Stella Hizon Reyes road, Bo. Pampang Davao City		FAX NUMBER: (082) 2992606	
COMPLETE BUSINESS ADDRESS: Bays 5 & 6 F Bormaheco Bldg J.P. Laurel Avenue, Davao City		ALTERNATE MOBILE NUMBER:	
OFFICIAL E-MAIL ADDRESS dina.sanjuan@chelseashipping.ph	ALTERNATE E-MAIL ADDRESS tina.pangan@chelseashipping.ph	OFFICIAL MOBILE NUMBER (0917) 5332010	ALTERNATE MOBILE NUMBER (0917) 5528746
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: PUNONGBAYAN & ARAULLO		SEC ACCREDITATION NUMBER (if applicable): No. 0002-FR-3	TELEPHONE NUMBER(S): 84034015/84034007
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: To engage in and carry on the business of or act as insurance broker of any domestic and/or foreign companies, except pre-needs companies		INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
UDENNA MANAGEMENT & RESOURCES CORP.	CS200628090	Stella Hizon Reyes Road, Bo, Pampang Davao City
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
Valueleases Inc	CS200726453	Stella Hizon Reyes Road, Bo, Pampang Davao City
Global Synergy Trade and Distribution Corporation	Cs200628686	Toyozu Road, Dacudaon Subdivision, Davao City
Aetos Air Philippines Inc.	CS201706922	Stella Hizon Reyes Road, Bo, Pampang Davao City
Udena Environmental Services Inc.	CS2010000001	12/F Udena Tower, Rizal Drive corner 4th Avenue, Bonifacio Global City, Taguig City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: **PNX-UDENNA INSURANCE BROKERS, INC.**

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input checked="" type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p>8. <input type="checkbox"/> None of the above</p>
<p>Describe nature of business:</p>	

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? Yes No

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PNX-UDENNA INSURANCE BROKERS, INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK				
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	200,000	100.00	20,000,000.00
TOTAL		200,000	TOTAL P	20,000,000.00

SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	6	Common	200,000		100.00	20,000,000.00	
TOTAL			200,000	TOTAL	TOTAL P	20,000,000.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Percentage of Foreign Equity :				TOTAL	TOTAL	TOTAL P	
					TOTAL SUBSCRIBED P		

PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	6	Common	200,000	100.00	20,000,000.00		
TOTAL			200,000	TOTAL P	20,000,000.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
0.00 %			TOTAL	TOTAL P	20,000,000.00		
				TOTAL PAID-UP P			

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:		PNX-UDENNA INSURANCE BROKERS INC.						
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. DENNIS A. UY Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	Filipino	Y	C	M	Y	N/A		172-020-135
2. CHERYLYN C. UY Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	Filipino	Y	M	F	Y	Treasurer		209-221-478
3. RAYMUNDO MARTIN M. ESCALONA 8 Marikit St., West Triangle Homes, Diliman, Quezon City	Filipino	N	M	M	Y	N/A		128-421-032
4. ERNESTO N. ORTONIO JR. No. 10 Azucena St. Panorama Homes Subd., Davao City	Filipino	N	M	F	Y	President		193-295-901
5. CHRYS ALFONSUS V. DAMUY P12 L16 Prosperity St., SPDA Village, Catalunan Pequeño, Davao City	Filipino	N	M	M	Y	N/A		913-898-959
6. MA. HENEDINA V. SAN JUAN Unit 313 Nile Bldg., Riverfront Residences, Dr. Sixto Antonio Ave., Brgy. Caniogan, Pasig City	Filipino	N	N	F	N	Corporate Secretary		120-130-044
7. IGNACIA, S BRAGA IV B5 L16 P4 Coral Tree Grove, Woodridge Subd. Maa, Davao City	Filipino	N	N	F	N	VP Finance		108-038-078
8. NOTHING FOLLOWS								
9.								
10.								
11.								
12.								
13.								
14.								
15.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		PNX-UDENNA INSURANCE BROKERS, INC.	
TOTAL NUMBER OF STOCKHOLDERS:	6	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:	1
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:	P86,465,870.00		

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1 DENNIS A. UY Filipino Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	Common	1	100.00	0.0005%	100.00	172-020-135
	TOTAL	1	100.00			
2 CHERYLYN C. UY Filipino Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	Common	1	100.00	0.0005%	100.00	209-221-478
	TOTAL	1	100.00			
3 RAYMUNDO MARTIN M. ESCALONA Filipino 8 Marikit St., West Triangle Homes, Diliman, Quezon City	Common	1	100.00	0.0005%	100.00	128-421-032
	TOTAL	1	100.00			
4 ERNESTO N. ORTONIO JR. Filipino No. 10 Azucena St. Panorama Homes Subd., Davao City	Common	1	100.00	0.0005%	100.00	193-295-901
	TOTAL	1	100.00			
5 CHRYS ALFONSUS V. DAMUY Filipino P12 L16 Prosperity St., SPDA Village,, Catalunan Pequeño, Davao City	Common	1	100.00	0.0005%	100.00	913-898-959
	TOTAL	1	100.00			
6 UDENNA MANAGMENT & RESOURCES CORP. Filipino Stella Hizon Reyes Road Bo. Pampang Davao City	Common	199,995	19,999,500.00	99.9975%	19,999,500.00	006-440-076
	TOTAL	199,995	19,999,500.00			
7. NOTHING FOLLOWS						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			20,000,000.00	100.00%	20,000,000.00	
			TOTAL AMOUNT OF PAID-UP CAPITAL			

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		PNX-UDENNA INSURANCE BROKERS, INC.	
TOTAL NUMBER OF STOCKHOLDERS:	6	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:	1
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:	P86,465,870.00		

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PbP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PbP)	% OF OWNERSHIP		
8.						
		TOTAL				
9.						
		TOTAL				
10.						
		TOTAL				
11.						
		TOTAL				
12.						
		TOTAL				
13.						
		TOTAL				
14.						
		TOTAL				
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	0.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		PNX-UDENNA INSURANCE BROKERS, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		6	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		1	
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		P86,465,870.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
15.						
	TOTAL					
16.						
	TOTAL					
17.						
	TOTAL					
18.						
	TOTAL					
19.						
	TOTAL					
20.						
	TOTAL					
21. OTHERS (Indicate the number of the remaining stockholders)						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	0.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:				PNX-UDENNA INSURANCE BROKERS, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION		AMOUNT (PhP)		DATE OF BOARD RESOLUTION			
1.1 STOCKS		N/A					
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)		N/A					
1.3 LOANS/ CREDITS/ ADVANCES		N/A					
1.4 GOVERNMENT TREASURY BILLS		N/A					
1.5 OTHERS		N/A					
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)			DATE OF BOARD RESOLUTION		DATE OF STOCKHOLDERS RATIFICATION		
N/A							
3. TREASURY SHARES			NO. OF SHARES		% AS TO THE TOTAL NO. OF SHARES ISSUED		
N/A							
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR PhP29,492,356.00							
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:							
TYPE OF DIVIDEND			AMOUNT (PhP)			DATE DECLARED	
5.1 CASH							
5.2 STOCK							
5.3 PROPERTY							
TOTAL							
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:							
DATE		NO. OF SHARES			AMOUNT		
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:							
NAME OF AGENCY:		SEC		BSP		IC	
TYPE OF LICENSE/REGN.		n/a		n/a		IB-47-2022-R	
DATE ISSUED:						Jan 1, 2022	
DATE STARTED OPERATIONS:						July 2013	
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (In PhP)			TOTAL NO. OF OFFICERS		TOTAL NO. OF RANK & FILE EMPLOYEES		TOTAL MANPOWER COMPLEMENT
			10		9		13

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **MA. HENEDINA V. SAN JUAN**, Corporate Secretary of **PNX -UDENNA INSURANCE BROKERS, INC.** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this 23 JUN 2023 day of _____, 2023 in Taguig City.



MA. HENEDINA V. SAN JUAN
(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in Taguig City on 23 JUN 2023 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Driver's License No. N06-84-035705 valid until 31 August 2032.

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Page No. 75
Book No. XXV
Series of 2023



ATTY. IRISH S. PRECION
Notary Public for Taguig City
Appointment No. 23 (2023-2024)
NOTARY PUBLIC
Roll No. 69281/05.31.17 / IBP No. 197085/01.07.22
PTR No. A-5726883/01.03.23/Taguig City
MCLE Compliance No. VII-0020815 valid until 04-14-25
Ground Floor FTI Old Admin. Bldg. FTI Complex, Taguig City
precionirishs@gmail.com / 09988534549

**BENEFICIAL OWNERSHIP DECLARATION
FOR THE YEAR: 2023**

SEC REGISTRATION NUMBER:
CORPORATE NAME:

**CS200629755
PNX-JDENNA INSURANCE BROKERS INC.**

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
UY DENNIS ANG	Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	FILIPINO	9/26/1973	172-020-135	76.29	I	A,B,C,D,E
UY CHERYLYN CHIONG	Lots 2-4 Tulip Lane, Ladislawa Garden Village, Davao City	FILIPINO	06/08/1979	209-221-478	13.33	I	A,B,C,D,E

Note: This page is not for uploading on the SEC IView.

¹ For Stock Corporations.
² For Non-Stock Corporations.
³ For Stock Corporations.